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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No D-2979

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled:

SUSTAINED RELEASE COOLANT ADDITIVE COMPOSITIONS

and invented by:

CHEN ET AL

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are Application Elements:

Filing Fee

☒ Specification having 39 page(s) and including the following:

☒ Title of the Invention

☐ Cross References to Related Applications (if applicable) (Shown in the Preliminary Amendment)

☒ Background of the Invention

☒ Brief Summary of the Invention

☒ Description of the Drawings

☒ Detailed Description

☒ Claim(s) as Classified Below

☒ Abstract of the Disclosure

☒ 1 Sheets of Drawings(s) (37 CFR 113) ☐ Formal ☒ Informal

☒ Oath or Declaration ☐ Executed ☒ Unexecuted

☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)

☒ Power of Attorney ☐ Executed ☒ Unexecuted

☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)

☐ Incorporation By Reference -- The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under the above entry, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ Computer Program in Microfiche (Appendix)

Accompanying Application Parts

☐ Assignment Papers (cover sheets & documents(s))

☐ The prior application is assigned of record to _____

☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)

☐ 37 CFR 3.73(B) Statement (when there is an assignee)



08/24/01



English Translation Document (if applicable)

Information Disclosure Statement/PTO-1449

[] Copies of _ IDS Cited Reference(s)

Preliminary Amendment

Acknowledgment postcard

Verified Statement(s) to Establish Small Entity Status Under 37 CFR 1.9 and 1.27

[] Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)

[X] Certificate of Mailing by Express Mail

[] Certified Copy of Priority Document(s) (if foreign priority is claimed)

[] Associate Power of Attorney

[X] Application Data Sheet and Request for Non-Publication

Fee Calculation and Transmittal

* The filing fee is calculated on the basis of the claims existing in the prior application as amended by the accompanying preliminary amendment noted above.

| CLAIMS AS FILED | | | | | |
|---|--------|----------|--------|-----------|---------|
| For | #Filed | #Allowed | #Extra | Rate | Fee |
| Total Claims | 43 | - 20 = | 23 | X \$18.00 | 414 |
| Independent Claims | 6 | - 3 = | 3 | X \$80.00 | 240 |
| Multiple Dependent Claims (check if applicable) [] | | | | | |
| BASIC FEE | | | | | 710 |
| OTHER FEE (specify purpose) | | | | | \$ 0.00 |
| (Applicant has small entity status under 37 CFR 1.9 and 1.27) SMALL ENTITY STATUS | | | | | |
| TOTAL FILING FEE | | | | | 1364 |
| | | | | | |

[] A check in the amount of \$ _____ to cover the filing fee is enclosed.

[] The Commissioner is hereby authorized to charge and/or credit Deposit Account Number as described below.

[] Charge the amount of \$ _____ as filing fee.

[] Credit any overpayment.

[] Charge any additional filing fees required under 37 CFR 1.16 and 1.17.

Please direct all future correspondence to the undersigned at the address given below.

4 VENTURE, SUITE 300

IRVINE, CA 92618

phone: 949-450-1750

Respectfully Submitted,

FRANK J. UXA

Attorney for Applicants

Reg. No: 25,612

DOCKET NO.: D-2979

THE ENCLOSED PATENT APPLICATION OF CHEN ET AL IS BEING
FILED IN ACCORDANCE WITH SECTION 37 CFR 1.10 BY EXPRESS
MAIL AND SHOULD BE ACCORDED A FILING DATE OF:

AUGUST 24, 2001

SEE THE EXPRESS MAIL CERTIFICATE ATTACHED TO THE APPLICATION.

2001-08-24

D-2979

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT

In re application of:

CHEN ET AL

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Group Art Unit: N/A

Serial No. N/A

Examiner: N/A

Dated: Submitted herewith

For: SUSTAINED RELEASE COOLANT
ADDITIVE COMPOSITIONS

Express Mail Mailing Label No. EL608287460US

Date of Deposit: AUGUST 24, 2001

I hereby certify that the following documents as identified below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Box New App, Washington, D.C. 20231:

1. Transmittal Letter;
2. Application Data Sheet;
3. New Application and 1 sheet of drawings;
4. Declaration (unsigned); and
5. Request for Non-Publication.

The 5 above-identified documents are enclosed herewith.

Respectfully submitted,



Janet E. McGhee, Office of
Frank J. Uxa

Attorney for Applicant

Reg. No. 25,612

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FJUxa/jm

[illegible]

PATENT

CHEN ET AL
Serial No. N/A

Examiner: N/A

For: SUSTAINED RELEASE COOLANT
ADDITIVE COMPOSITIONS

UNDER 37 CFR 213 (a)

Dear Sir:

Respectfully submitted,

Frank J. Uza
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